




THE INVESTMENT
FUNDS INSTITUTE
OF CANADA

L'INSTITUT DES FONDS
D'INVESTISSEMENT
DU CANADA

Karen McGuinness

Senior Vice-President, Member Regulation – Compliance
Mutual Fund Dealers Association of Canada (MFDA)

A horizontal bar composed of four colored segments: dark grey, light blue, yellow, and red.

Karen McGuinness is senior vice-president, member regulation – compliance and has been with the Mutual Fund Dealers Association of Canada (MFDA) since its inception in 1998. In addition to having joint responsibility for the MFDA's investor outreach and member education initiatives, Karen also oversees the MFDA's Compliance, Financial Compliance and Membership Services departments. Karen previously worked in the Enforcement Branch at the Ontario Securities Commission (OSC).