

October 5, 2026

6 min read

Weekly industry updates we're keeping an eye on—curated just for you!



CIRO updates
Acceptable
Institutions and
Acceptable
Counterparties
Database

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Don't miss our
webinar: The
future of fund
tokenization in
Canada

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Our Annual
Leadership
Conference is this
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Industry roundup

CIRO updates Acceptable Institutions and Acceptable
Counterparties Database

What's new » The [AI/AC database](#) is primarily operational and compliance-related. It provides current information on entities' net worth and AI/AC classifications and supports requirements related to margin calculations, custody arrangements and financial reporting.

The database is not exhaustive. Dealer members remain responsible for assessing counterparty risk and ensuring appropriate account coding, and should not rely on the database as a complete source of information.

It is updated every year, while the lists of recognized exchanges and associations and Basel Accord countries are updated as needed.

Why it matters » The database helps dealer members identify and appropriately code qualifying customer accounts for regulatory purposes.

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CSA proposes NI 26-01 Information Technology System Integrity

What's new » The CSA is proposing to create a single, harmonized regulatory framework for the IT systems used by Canadian market infrastructure entities. The CSA says the [proposed framework](#) is intended to strengthen critical IT systems, promote market confidence, and preserve the stability, efficiency and integrity of Canadian financial markets.

The CSA is also asking stakeholders how the framework should evolve to address emerging technologies, including AI, quantum computing, blockchain and distributed ledger technology. The proposal is open for comment until January 29, 2027.

Why it matters » Although investment dealers are not the primary entities covered, they rely on market infrastructure whose IT resilience directly affects trading, clearing and settlement. It may therefore drive greater focus on third-party risk, cybersecurity, business continuity, incident response and operational resilience, while signaling broader regulatory expectations for dealer technology controls.

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SIMA buzz

Don't miss our webinar: The future of fund tokenization in Canada

Join us for a conversation about the opportunities, challenges, and practical considerations of tokenized investment funds in Canada. Industry experts will unpack the potential use cases, examine the regulatory landscape, and discuss what's needed to build institutional trust in these products.

Date: Thursday, November 5, 2026

Time: 11 a.m. to 12 p.m. ET

Our panelists will explore how tokenized investment funds might make the transition from concept to reality in the Canadian financial ecosystem.

Featuring:

- Rose Park, SIMA (moderator)
- Steve Everett, TMX Group
- Ryan Leopold, PwC
- Lori Stein, McCarthy Tétrault



Register

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Our Annual Leadership Conference is this month!



Register

We're less than a month away from our 2026 Annual Leadership Conference! Don't forget to register to join us on October 29 at the Fairmont Royal York in Toronto.

Connect with senior professionals from across Canada's investment industry and participate in engaging sessions tackling important themes including:

- the economic and regulatory outlook
- the future of investment management
- the evolution of advice
- unlocking capital and competitiveness
- the digital future of finance
- investor protection and trust

We are pleased to welcome our Chair Bill Packham to the stage this year to share his insights. Bill is president & CEO at Aviso Wealth Inc. and has served as a member of our board since 2018.

Visit our [website](#) to learn more.

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Remember to activate your account to unlock our member portal!

Our new digital platform gives you secure access to everything you need to manage your participation in our committees and working groups.

Not yet a part of our committees and interested in what we're working on? Our member portal offers a full overview of our committees and working groups.

The member portal is your one-stop shop to view:

- All your committee and working group materials, meeting agendas and schedules

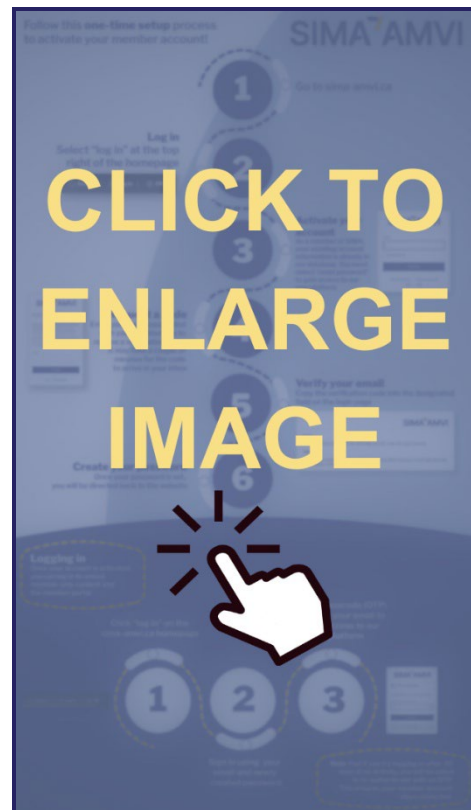
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Questions? Email member-services@sima-amvi.ca

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Tune in to the latest episode of our podcast, Insights to Impact



A new episode of Insights to Impact is out now!

We sit down with Naizam Kanji from the Ontario Securities Commission to talk about his new role in strategic regulation at the OSC, regulatory burden, fees, strengthening market confidence and competitiveness, and the regulatory passport system.

Featuring

- Andy Mitchell, President and CEO, SIMA
- Naizam Kanji, Executive Vice-President, Strategic Regulation, OSC

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